

16805 U.S.PTO
021704

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of Lee Weng, et al.) Examiner: Not yet assigned
 For: **ULTRASOUND TRANSDUCERS FOR**) Group Art Unit: Not yet assigned
IMAGING AND THERAPY)
 Application Serial No.: Not Yet Assigned)
 Filed: February 17, 2004)
 Attorney Docket No.: THRSP-0005-0002)

UTILITY
PATENT APPLICATION
TRANSMITTAL
 (PTO/SB/05 (03-01))

10780405
2241 U.S.PTO
021704

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>		ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages: <u>34</u>] <i>Preferred arrangement set forth below</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure </p> <p>4. <input checked="" type="checkbox"/> Drawing(s). See 35 U.S.C. 113 [Total Sheets: <u>13</u>]</p> <p>5. <input type="checkbox"/> Oath or Declaration [Total Pages: <u> </u>] <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 18 completed)</i> c. <input type="checkbox"/> DELETION OF INVENTOR(S) <ul style="list-style-type: none"> i. Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). </p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>7. <input type="checkbox"/> CD ROM or CDR In Duplicate</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form b. Specification Sequence Listing On: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD ROM or CD-R (2X); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies </p>		ACCOMPANYING APPLICATION PARTS
		<p>9. <input type="checkbox"/> Assignment Papers <i>(Cover sheet & document(s))</i></p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <ul style="list-style-type: none"> <input type="checkbox"/> Power of Atty </p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure Statement <i>(PTO-1449)</i> <ul style="list-style-type: none"> <input type="checkbox"/> Copies of IDS Citations </p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard <i>(MPEP 503)</i> <ul style="list-style-type: none"> <i>(Should be specifically itemized)</i> </p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Non-publication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other:</p>

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation

Divisional

Continuation-in-part (CIP)

Prior application information:

of prior application No.: 09/747,310

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

Group Art Unit: 3737

Examiner: Lin, Jeoyuh

19. Correspondence Address:

<input checked="" type="checkbox"/> Customer No. 36,308	Insert Customer No. or Affix Customer No. Label Here	<input type="checkbox"/> OR Address Below
---	---	---

Correspondence Address: Sheldon K. Lee
Associate GC
Therus Corporation
2401 4th Ave.; Suite 200
Seattle, CA 98121

20. Respectfully Submitted By:

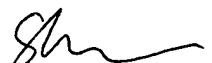
Name: Sheldon K. Lee	Attorney Registration No.: 53,197
Signature: 	Date: February 17, 2004

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid addressed to: Mail Stop Patent Application, Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450 on February 17, 2004.

Date: February 17, 2004

Insert Express Mail Label Here

Signature


ER148679505US

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

1686
U.S. PTO
021704

FEE TRANSMITTAL for FY 2003

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 490)

Complete if Known

Application Number	Not yet assigned
Filing Date	February 17, 2004
First Named Inventor	Lee Weng, et al.
Examiner Name	Not yet assigned
Art Unit	Not yet assigned
Attorney Docket Number	THRSP 0005-0002

METHOD OF PAYMENT (check all that apply)

 Check Credit Card Money Order Other None Deposit Account:Deposit
Account
Number
Deposit
Account
Name

502668

Therus Corporation

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
- Charge any additional fee(s) during the pendency of this application
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee	Fee	Fee	Fee	Fee Paid
Code	Fee (\$)	Code	Fee (\$)	Fee Description
1001	750	2001	375	Utility filing fee
1002	330	2002	165	Design filing fee
1003	520	2003	260	Plant filing fee
1004	750	2004	375	Reissue filing fee
1005	160	2005	80	Provisional filing fee
SUBTOTAL (1)		375		

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims	28	-20** = 8	X 9 = 72	
Independent Claims	4	-3** = 1	X 43 = 43	
Multiple Dependent	0		= 0	
1205	18	2205	9 ** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		115		

**or number previously paid. If greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee	Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)	
1051	130	2051	65 Surcharge – late filing fee or oath	
1052	50	2052	25 Surcharge – late provisional filing fee or cover sheet	
1053	130	1053	130 Non-English specification	
1812	2,520	1812	2,520 For filing a request for ex parte reexamination	
1804	920*	1804	920* Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action	
1251	110	2251	55 Extension for reply within first month	
1252	410	2252	205 Extension for reply within second month	
1253	930	2253	465 Extension for reply within third month	
1254	1,450	2254	725 Extension for reply within fourth month	
1255	1,970	2255	985 Extension for reply within fifth month	
1401	320	2401	160 Notice of Appeal	
1402	320	2402	160 Filing a brief in support of an appeal	
1403	280	2403	140 Request for oral hearing	
1451	1,510	1451	1,510 Petition to institute a public use proceeding	
1452	110	2452	55 Petition to revive – unavoidable	
1453	1,300	2453	650 Petition to revive – unintentional	
1501	1,300	2501	650 Utility issue fee (or reissue)	
1502	470	2502	235 Design issue fee	
1503	630	2503	315 Plant issue fee	
1460	130	1460	130 Petitions to the Commissioner	
1807	50	1807	50 Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180 Submission of Information Disclosure Stmt	
8021	40	8021	40 Recording each patent assignment per property (times number of properties)	
1809	750	2809	375 Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375 For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375 Request for Continued Examination (RCE)	
1802	900	1802	900 Request for expedited examination of design application	
Other Fee (specify) _____				

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) 0

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Sheldon K. Lee	Registration No. (Attorney/Agent)	53,197	Telephone (206) 832-4636
Signature		Date	August 4, 2003	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.